

**REPORT TO:** CABINET MEMBER (Performance & Governance)

**DATE:** 4<sup>th</sup> August 2010

**SUBJECT:** Joint Local Area Agreement, Corporate Plan and National Indicator Monitoring Report - Quarter 4 2009/10

**WARDS AFFECTED:** All

**REPORT OF:** Assistant Chief Executive

**CONTACT OFFICER:** Samantha Tunney: 0151 934 4039  
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**EXEMPT/  
CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To inform the Members of the progress made towards the achievement of Sefton's Local Area Agreement (LAA), Corporate Plan Objectives and National Indicators (NI's) as at 31st March 2010.

**REASON WHY DECISION REQUIRED:**

To facilitate the ongoing analysis and management of the Council's performance as part of the Performance Management Framework, the Cabinet and Cabinet Member for Performance and Governance receive quarterly monitoring reports.

**RECOMMENDATION (S):**

The Cabinet Member Performance and Governance is recommended to:

1. Note the level of performance of the LAA, Corporate Plan and National Indicators as at 31<sup>st</sup> March 2010, and
2. Recommend the appropriate level of detail to be presented to the Cabinet.

**KEY DECISION:** No

**FORWARD PLAN:** Not appropriate

**IMPLEMENTATION DATE:** Following expiry of the call in of the minutes of this meeting.

**ALTERNATIVE OPTIONS: N/A**

**IMPLICATIONS:**

**Budget/Policy Framework:**

**Financial: Financial: There are no direct financial implications arising from this report, as these have all been considered and taken account of in the Council's overall budget.**

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2009/ 2010 £</b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>
Gross Increase in Capital Expenditure				
Funded by:				
Sefton Capital Resources				
Specific Capital Resources				
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

**Legal:** None

**Risk Assessment:** None

**Asset Management:** None

**CONSULTATION UNDERTAKEN/VIEWS:**

All departments were consulted in the preparation of this report.

**CORPORATE OBJECTIVE MONITORING:**

<b><u>Corporate Objective</u></b>		<b><u>Positive Impact</u></b>	<b><u>Neutral Impact</u></b>	<b><u>Negative Impact</u></b>
1	Creating a Learning Community	√		
2	Creating Safe Communities	√		
3	Jobs and Prosperity	√		
4	Improving Health and Well-Being	√		
5	Environmental Sustainability	√		
6	Creating Inclusive Communities	√		
7	Improving the Quality of Council Services and Strengthening local Democracy	√		
8	Children and Young People	√		

**LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT**

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## **1. Purpose of Report**

- 1.1 This monitoring report sets out performance against the Local Area Agreement, Corporate Plan and the Council's National Indicators for the fourth quarter of 2009/10, 31<sup>st</sup> December 2009 – 31<sup>st</sup> March 2010.
- 1.2 Regular performance monitoring is essential to ensure that the Council is delivering services effectively. This is the final quarterly monitoring report of 2009/10 focusing on the LAA, Corporate Plan and NIs.
- 1.3 This report identifies the key issues from the full monitoring report and summarises for Members the progress being made towards the achievement of our objectives and targets. Cabinet Members have received a full copy of the monitoring under separate cover.

## **2. Background**

- 2.1 The following information is collected for the **full** monitoring report;
  - ❖ Progress up to 31<sup>st</sup> March 2010
  - ❖ Issues affecting current/future progress and any corrective action
  - ❖ Related performance indicators actual 2008/09, actual quarter 4 2009/10 target and projection for 2009/10.
- 2.2 The Corporate Plan 2009/10 includes 29 strategic objectives, which contribute to the achievement of our priorities. These strategic objectives are delivered using the Council's corporate performance management framework. The Plan also contains a series of key actions to monitor the success in delivering improved outcomes for residents.
- 2.3 This report relates to the following Strategic Objectives as reported against in the Comprehensive Area Assessment (CAA):
  - Safer and Stronger
  - Children and Young People
  - Adult Health and Well-Being
  - Local Economy
  - Environmental Sustainability
- 2.4 National Indicators (NIs) are indicators collected in accordance with definitions issued by the Department for Communities and Local Government.
- 2.5 Quarterly Department Plan Monitoring reports have also been produced by departments separately, and all have been published on the Intranet.
- 2.6 Quarterly Department Plan Monitoring reports outline the performance of Key Performance Indicators and the key messages emerging from Departments in the fourth quarter of 2009/10.

## **3. Monitoring of the Local Area Agreement (LAA)**

- 3.1 Nationally Local Strategic Partnerships (LSP's) have responsibility for driving the delivery of their Sustainable Community Strategy; for this to work effectively, there has to be robust Performance Management.

- 3.2 Performance Management has to enable a greater understanding of Sefton and the diversity of need in the borough. It should allow for decisions to be made that support improvement in performance and enable the long-term vision for Sefton, in part articulated by the Local Area Agreement, to be achieved.
- 3.3 An annual report regarding Sefton's Local Area Agreement performance is developed in June each year after agreement by the Cabinet Member Performance and Governance and the Sefton Borough Partnership Operations Board. An exception report is produced six monthly with Thematic Partnership Managers providing a scheduled update on their performance too. Scheduling this information for each June allows the maximum amount of full year's data to be used. Annex 1 gives full details of the latest quarter performance for the LAA.

#### **4. LAA - Safer & Stronger Communities**

- 4.1 The SSCP reports against nine LAA Indicators including NI 195, which has four components, therefore, each component of NI 195 is assessed as representing .25 in this summary assessment.
- 4.2 **4.25 LAA Indicators** are exceeding current or projected 2009/10 performance targets:

NI 17b - Perceptions of Anti Social Behaviour  
NI 20 - Assault with injury crime rate  
NI 30 - Re-offending rate of prolific and priority offenders  
NI 32 - Repeat incidents of domestic violence  
NI 195a - Improved street and environmental cleanliness - litter

- 4.3 **2 LAA indicators** are regarded as having satisfactory performance with qualification: -

NI 7 - Environment for a thriving third sector  
NI 4 - Percentage of people who think they can influence decisions in their locality

- 4.4 **2.75 LAA Indicators** have current and or projected 2009/10 under performance:

NI 21 - Dealing with local concerns about anti-social behaviour and crime by the local authority and police  
NI 47 - People killed or seriously injured in road traffic accidents  
NI 195b - Improved street and environmental cleanliness – detritus  
NI 195c - Improved street and environmental cleanliness – graffiti  
NI 195d - Improved street and environmental cleanliness – fly posting

Risks relating specifically to those indicators with current and or projected under performance for 2009/10 have been logged within the Corporate Risk Register. In broad terms, risks associated with performance for these indicators relate to resources such as personnel, funding and investment. All indicators where risk has been identified have been highlighted and appropriate action has been taken please see annex 1 for more detailed narrative.

## **5. Children and Young People**

- 5.1 CYP reports against 22 LAA indicators; of these there are 12 statutory education indicators.

### **Two LAA Indicators have not achieved the 2009 / 10 performance targets:**

NI 53 - Prevalence of breastfeeding 6-8 weeks from birth

NI 112 - Under 18 conception rate (Local Target)

### Four LAA indicators have not achieved the 2009 / 10 performance targets but are progressing satisfactorily:

NI 62 - Stability of Placements of LAC, number of moves

NI 91 - Participation of 17year olds in education or training

NI 110 – Young People’s participation in positive activities

NI 111 - First time entrants into the Youth Justice System

### **Four LAA Indicators have achieved or are expected to achieve the 2009 / 10 performance targets:**

NI 51 - Effectiveness of CAMHS

NI 56 - Obesity among primary school age children in year 6

NI 80 - Achievement of a Level 3 qualification by the age of 19

NI 115 - Substance misuse by Young People

### **Twelve LAA statutory education indicators are expected to achieve or perform well against the 2009 / 10 performance targets.**

NI 72 - Achievement of at least 78 points across EYFS with at least 6 in each of the scales in PSED & CLL

NI 73 - Achievement at level 4 or above in English & Maths at Key Stage

NI 75 - Achievement of 5 or more A\* - C grades at GCSE or Equivalent including English & maths

NI 87 - Secondary School Persistent absence rate

NI 92 - Narrowing the gap between the lowest achieving 20%in the EYFS and the rest

NI 93 - Progression by 2 Levels in English between KS1 & KS2

NI 94 - Progression by 2 Levels in Maths between KS1 & KS2

NI 99 - LAC reaching level 4 in English at KS2

NI 100 - LAC reaching level 4 in maths at KS2

NI 101 - LAC achieving 5 A\*-C GCSEs (or equivalent) at KS4 (Including English & maths)

NI 102a - Achievement gap between pupils eligible for free school meals and their peers achieving the expected level at KS2

NI 102b - Achievement gap between pupils eligible for free school meals and their peers achieving the expected level at KS4

All indicators where risk has been identified have been highlighted and appropriate action has been taken.

Sefton schools generally perform well and are amongst the best in the country. The results for the education indicators will not be confirmed until August 2010.

## **6. Healthier Communities & Older People**

6.1 Healthier Communities & Older People reports against eight LAA Indicators.

**One LAA Indicators has not achieved the 2009 / 10 performance targets:**

NI 134 The number of emergency bed days

**Four LAA Indicators have achieved or are expected to achieve the 2009 / 10 performance targets:**

NI 123 16+ current smoking rate prevalence

NI 124 People with a long-term condition supported to be independent and in control of their condition

NI 136 People supported to live independently through social services (all ages)

NI 141 Number of vulnerable people achieving independent living

**Three LAA indicators the figures are not yet available for 09/10**

NI 039 Alcohol-harm related hospital admission rates

NI 120A All-age all cause mortality rate (Males)

NI 120B All age cause mortality rate (Female)

All indicators where risk has been identified have been highlighted and appropriate action has been taken please see annex 1 for more detailed narrative.

## **7. Economic Development and Sustainability**

7.1 Economic Development & Sustainability reports against nine LAA Indicators.

**One LAA Indicators have not achieved the 2009 / 10 performance targets:**

NI 153 Working age people claiming out of work benefits in the worst performing neighbourhoods

**Three LAA Indicators have achieved or are expected to achieve the 2009 / 10 performance targets:**

- NI 116 Proportion of children in poverty
- NI 188 Adapting to climate change
- NI 193 Municipal waste landfilled

**Four LAA indicators the figures are available late August for 09/10**

- NI 154 Net additional homes provided
- NI 163 Working age population qualified to at least Level 2 or higher
- NI 164 Working age population qualified to at least Level 3 or higher
- NI 167 Congestion – average journey time per mile during the morning peak

**One LAA Indicator the figures are available December for 09/10**

- NI 171 New business registration rate per 10,000 working age population

All indicators where risk has been identified have been highlighted and appropriate action has been taken please see annex 1 for more detailed narrative.

**8. Monitoring of the Corporate Plan**

- 8.1 The following sections give a **summary of progress as at Quarter 4** for each of Sefton's eight corporate objectives. There has been progress in each of the 57 actions. The matrix below highlights some of the key achievements and identifies issues that may affect either current or future performance.
- 8.2 The highlight reports provide a brief update on the work carried out during the last quarter (31<sup>st</sup> December 2009 to 31<sup>st</sup> March 2010), what progress has been made in the period, any current issues affecting the progress of the action and an overall rating of either 'Green', 'Amber' or 'Red'.
- 8.3 If the action is not going as planned, then an exception report is produced instead. This is similar to the action plans used for performance indicators that are below target. They provide a brief analysis of the problem(s), and options for bringing the action back on track.

**9. Executive Summary of Report**

- 9.1 Performance is assessed based on the delivery of Key actions in the Local Area Agreement, Corporate Plan and performance against National Indicators for which the Council is responsible. Annex 2 is an executive summary of performance at quarter 4 2009/10.

Table 1 - Summary of key project performance for the (Dec-Mar 4th Qtr 09/10)

	<b>RAG</b>	<b>No. of actions</b>	<b>%</b>
Completed	Green	34	60%
On Target	Green	16	28%
On Hold (Action 26)	Amber	1	1%
Overdue or Delayed (Actions 12, 15, 22, 34, 65 and 75)	Red	6	11%
		57	100%



- 9.2 The Corporate Plan 2009/10 identified 29 corporate strategic objectives. The overall performance of the key actions is excellent with 50 (88%) being either completed or rated 'Green' and progressing ahead of or according to plan by the end of March 2010. Full details of the progress and issues identified can be found at Annex 3.
- 9.3 Thirty-one of the key actions have been completed, while a further sixteen projects are also rated as 'Green', meaning that they are on track. One project is rated 'Amber', which is an early warning that there may be a problem. Nine actions are rated 'Red', which indicates more serious problems such as falling behind schedule or exceeding budget. Explanations and recommended actions to address the issues, which have delayed actions are detailed later in the report.

## 10. Completed Key Actions and Actions Identified as 'Green'

- 10.1 In total 34 (60%) have been completed as at the 31<sup>st</sup> March 2010, an improvement of 44% on the position at the end of the third quarter.
- 10.2 A further 16 actions (28%) are recorded as 'green' on target and costs within or under budget.

## 11. Actions Identified as 'Amber'

- 11.1 An 'amber' rating indicates that project performance is forecast to overrun on time or cost. It is an early warning that there may be a problem.

Action reference	Position
COR-HMR-AP-026	On Hold Masterplans are in place for 4 of the 5 HMRI neighbourhoods. The outstanding neighbourhood is Seaforth-Waterloo. A Masterplan for this area can only be developed once the Council's Planning Core Strategy is approved; which will be post 2011

## 12. Actions Identified as 'Red'

- 12.1 An 'red' rating indicates that performance is forecast to overrun on time or cost. It is an early warning that there may be a problem. The following projects are rated red:

Action reference	Position
COR-PLA-AP-012	<b>Overdue</b>
COR-PLA-AP-015	<b>Delayed</b> The Green Belt study has now been commissioned and is due to be complete by September. This will feed into the next stage of Core Strategy preparation which is the publication of a Preferred Strategy in October
COR-TSD-AP-022	<b>Delayed</b> While work on the compilation of school travel plans is going well (17 plans for year), it has not been possible to progress work place travel plans. This is due to an internal re-organisation which has resulted in loss of staff resources for this element. Proposals to restore staff resource are being pursued for 2010/11.  Following the results of public consultation one major scheme

Action reference	Position
	within the Southport Cycle Town Action Plan has had to be revised, which has impacted on delivery of the rest of the programme. Revised programme, including alternative schemes, has now been developed.
COR-PER-AP-034	<p><b>Overdue</b> Progress on restructuring the Unit is currently still at the development stage. However this will follow that of HR which is presently considered a priority.</p> <p>This may therefore have implications for how and when the strategy continues.</p> <p>Changes to the departmental structures have had implications for some of the deliverables and this is unlikely to change until the overall structure is stable</p>
COR-PER-AP-065	<p><b>Overdue</b> The impact of the review of Personnel, the SBR and the effects of this on the HR function and on the Equalities Partnership have delayed progress</p>
COR-PER-AP-075	<p><b>Delayed</b> It is difficult to prepare a pay and workforce strategy given the potential changes arising from the strategic budget review and the outcome of the pay and grading review is not yet known. These factors will have an impact on the shape of the Council and the skills its workforce will require in future.</p>

### 13. Progress of National Performance Indicators as at 31<sup>st</sup> March 2010

13.1 This section of the report includes the monitoring of NI's. Part 2 of the Performance Monitoring Report (Annex 1) gives further details of progress made on all national indicators. The report has made comparisons between 2009/10 actuals/estimates and 2009/10 targets and projections. The full performance report has been published on the Intranet.

13.2 Annex 4 has details of the national indicators the tables show the latest published information as published on the Oneplace Website.

<u>Total National Indicators</u>		212	
Number of New NIs		6	3%
Quarter 4 Actuals/Estimates		138	65%
2009/10 Target		139	66%
Indicators achieved 2009/10 Target		76	36%
Indicators will not achieve 2009/10 Target		44	21%
<u>Direction of Travel</u>			
Indicators showing an improvement	↑	68	32%
Indicators showing they stay the same	↓	16	8%
Indicators showing they will get worse	→	53	25%

- 13.3 Performance for all national performance indicators
- 138 NIs (65%) have provided quarter 4 2009/10 data.
  - Have set 2009/10 targets for 139 NIs (66%), and 76 (36%) indicators have achieved targets and 44 (21%) have not achieved targets.
  - 68 NIs (32%) will improve, 53 NIs (25%) will not improve and 16 NIs (8%) will stay the same.

- It is difficult to set targets for the six new indicators as there is no previous, or baseline data to set targets upon.

Dept.	Total	Achieved 2009/10 Target		↑	↓	→
		Yes	No			
Chief Executives	5	1				
Finance & Information Services	2			1		
Children Schools and Families	80	42	26	35	23	11
Youth Offending Team	9	4	5	3	5	1
Adult Social Care	13	9		9		
Primary Care Trust	21	1		2	1	
Community Safety	29	6	2	5	7	2
Leisure Services	3		2		2	
Environmental & Technical Services	7	5		4	1	
Neighbourhoods and Investment Programmes	6	1	2	1	1	1
Operational Services	8	5	3	4	3	1
Planning & Economic Development	29	2	4	4	10	
<b>Total</b>	<b>212</b>	<b>76</b>	<b>44</b>	<b>68</b>	<b>53</b>	<b>16</b>
		<b>36%</b>	<b>21%</b>	<b>32%</b>	<b>25%</b>	<b>8%</b>

13.4 Annex 3 gives full details of 2009/10 projections against the NI targets for all indicators. The table in Annex 4 summarises the overall position in respect of the collection of NIs.

13.5 Some targets have been set nationally, as an example NI 156 Sefton had a very low number initially compared with other councils, that national target of 5 is unrealistic.

#### 14. Indicators rated Red

14.1 There are 26 indicators rated as red the rating has been given based on performance against regional and national averages, Quartile position and direction of travel.

NI Ref:	Position
NI 004	Place Survey - This is a place survey indicator undertaken in October/November 2008 and the survey is bi-annual which has been postponed until further notice
NI 006	
NI 007	Place Survey Quarter 2 - 1099 registrants at the Volunteer Centre contributing approximately £910,000 to the economy.
NI 018	No data available
NI 035d	Annual Indicator – more information will be requested

NI Ref:	Position
NI 039	For males, actual 08 data showed that this indicator was on target within confidence levels. However provisional 09 data shows that the rate has reached a plateau. Measures are in place for indicators (and related lifestyle factors) that contribute to all age all cause mortality including: cardiovascular disease, lung cancer, respiratory disease and chronic liver disease.
NI 041	Place Survey - This is a place survey indicator undertaken in October/November 2008 and the survey is bi-annual which has been postponed until further notice
NI 042	
NI 059	Weekly assessment performance surgeries have been introduced to monitor and improve timescales. There has been a significant improvement in performance in relation to NI59 from 65.1% 2008/9 (SN 71% & Eng 72%) to 73% November 2009. Whole service monthly performance meetings have now commenced to address quality of data and report performance outcomes directly to Senior Managers. Practitioner's assessment workshops are currently being delivered to share and embed good practice issues. A decision maker (ATM post) has been agreed to further develop our front door response to improve timescales, quality and volume of contacts/ referrals. Recruitment process ongoing. A further development to pilot the placement of a SW within the FCIU to undertake monitoring and development in relation to DV referrals will be monitored over the next three months.
NI 065	<p>This represents 33 children. This consists of 7 families.</p> <p>The risk is that this indicator is difficult to predict. This can, on one hand indicate that children have been removed from a CP plan too early, or that further support has not been as readily provided or accepted by families. It may also indicate where it is less predictable with changing families and transferring across borders of local authorities. Ongoing monitoring.</p>
NI 085a	Projection used for year end until actual figures are available
NI 085b	
NI 085c	
NI 105	<p>The SEN/Non SEN Gap at KS4 for the % of pupils achieving 5A*-C is 7.5ppts wider than the NA. This is set within a context where the performance of all pupils is 3.5ppts better than NA and the performance of pupils with SEN being 3.1ppts below NA. Secondary schools in Sefton identify a fewer % of pupils with SEN. The attainment of pupils with SEN at KS4 is an area for development. When overlaying the attainment data with the % of pupils with SEN making 3LP across KS3-4 it becomes clear that Mathematics is the greatest area of challenge with % progress being 5.7ppts below NA for pupils with SEN and roughly in line with NA for English.</p> <p>Projection used for year end until actual figures are available</p>
NI 122	Primary Care Trust Data not yet available for 2009/10
NI 122a	
NI 122b	
NI 124	Ambulatory Care Sensitive Conditions are to continue to be monitored in order to ascertain the range of impact of interventions that have been delivered by Community Health Services to

NI Ref:	Position
	support patients and prevent them from going into acute services. By taking this longer-term view we will avoid the impact of the peaks and troughs caused by situations such as the recent extreme weather experienced; and will be able to better judge the impact of ongoing situations such as swine flu.
NI 150	Performance has deteriorated from 0.90 to 0.40, performance will be monitored by the Primary Care Trust
NI 152	Quarter 3 data has been used, quarter 4 data will be released in May 2010. The worklessness rate for Sefton has continued to increase since the start of the recession.
NI 153	<p>Quarter 3 data has now been released, however quarter 4 will not be available until May 2010.</p> <p>The rate of increase which has reflected the impact of the current recession has shown signs of slowing a little over the last quarter see annex 1 for more detail</p>
NI 166	The annual data for 2009/10 was released in December 2009. Salary rates showed a slight fall from the previous year. When new data is released the previous years data is revised, therefore the figure for 2008/09 has been amended from 425.9 to 424.3.
NI 195a	This indicator has increased by 1% from 2008/09 to 2009/10. This indicator is sensitive to the changing littering habits of the public and this level of variation is to be expected.
NI 195b	This indicator has increased by 3% from 2008/09 to 2009/10. The road channel sweeping service has been reviewed and, following the procurement of new vehicles and a change in the sweeping programme, performance is expected to improve in 2010/11.
NI 195c	This indicator has increased by 1% from 2008/09 to 2009/10. Graffiti under this indicator is counted regardless of where the graffiti is and/or who owns the land or surface to which it has been applied. We will continue to work closely with partners and will be changing the deployment of our resources to improve our performance in this area.
NI 198	Targets and outturn results are overall pan-Merseyside figures, reported as part of the joint Local Transport Plan (LTP), directly to DfT. Outturn is an annual, school year indicator, which will be published and downloaded by DfT (DfT contacted Jan '10 to request info in relation to most up to date figs)

## 15. Conclusions

- 15.1 The performance in this fourth quarter report shows that the Council continues to perform well. The progress made in delivering key projects is excellent and the performance against indicator targets demonstrates that we continue to deliver against our priorities.
- 15.2 The action plans and other steps to be taken where performance is lower than anticipated should help to drive improvement in performance indicators and project delivery.

## **16. Recommendations**

The Cabinet Member Performance and Governance is recommended to:

1. Note the level of performance of the LAA, Corporate Plan and National Indicators as at 31<sup>st</sup> March 2010, and
2. Recommend the appropriate level of detail to be presented to the Cabinet.